

VERIFICATION OF A TRANSLATION

I, HENRY M. FEIEREISEN, resident of the United States, having a place of business at 350 Fifth Avenue, Suite 3220, New York, N. Y. 10118, depose and state that:

1. I am familiar with the English and German languages.
2. I have read the attached German language international patent application PCT/EP/02724.
3. The hereto attached English language text is an accurate translation thereof.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.



Henry M. Feiereisen

Date:

VERIFICATION OF A TRANSLATION

I, Antonella Fusillo, resident of the United States, residing at 28-32 45th Street, # 2F, Astoria, N.Y. 11103, depose and state that:

1. I am familiar with the English and German languages.
2. I have read the attached International Search report regarding International patent application no. PCT/EP99/02724.
3. The hereto attached English language text is an accurate translation thereof.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.



Antonella Fusillo

Date: December 16, 1999

1 RESONATOR ARRANGEMENT FOR SOLID STATE LASERS

2

3 TECHNICAL FIELD AND STATE-OF-THE-ART

4

5 During the past years, Nd:YAG welding lasers have found increasing
6 applications in the areas of jewelry and dentistry. These so-called handheld
7 welding lasers are suitable to perform precise point and seam welding in the sub-
8 millimeter region. They have not only the advantage of providing solder-free
9 joints, but also protect the workpiece as compared to the conventional flame
10 welding technique. A typical construction of devices presently on the market is
11 illustrated in FIG. 1. The conventional "classic" resonators are mostly constructed
12 in the manner illustrated in FIG. 2a and have a planar output mirror 2 and a
13 concave reflecting mirror 3. The Nd:YAG laser rod 1 is located approximately in
14 the center between the mirrors 2 and 3.

15

16 The exiting laser beam 4 is focused onto the work plane 8 by a beam
17 expander 5 with an adjustable divergence, a turning mirror 6 (for example,
18 1064 nm - HR, visible range - AR) and a focusing lens 7.

19

20 All devices encounter problems associated with "thermal lensing" of the
21 Nd:YAG rod and the "initial pulse characteristics" associated therewith. Pumping
22 with a flash lamp and water cooling produces a radial temperature profile in the
23 Nd:YAG rod, which is transformed by the characteristic material constant dn/dT

1 into a refractive index profile and thereby into a lensing effect. Depending on the
2 injected pump energy and the cooling provided by the cooling water,
3 respectively, this lensing effect depends on the pump power. FIG. 3 illustrates
4 the initial pulse characteristics for a state-of-the-art "classic resonator", wherein
5 the spot size at the focal point is simulated without beam expansion as a function
6 of the pump power, i.e. with varying thermal lensing effect and for different radii
7 of the reflecting mirror. The increase of the focal diameter with increasing pump
8 power is clearly seen. Smaller radii of curvature of the reflecting mirror lead to a
9 smaller relative change, but to overall larger values of the focal diameter. For
10 single pulses or for initial pulses (low pump power), the lensing effect is still
11 small. For continuous pulses (high pump power) and a predetermined frequency
12 the lens increases to a value which depends on the average pump power in
13 continuous operation. This lensing effect affects the beam quality and thereby
14 also the spot size in the work plane as well as (to a lesser degree) the pulse
15 energy. The user who is mainly interested in the energy density, i.e. the pulse
16 energy divided by the spot size, will recognize this phenomenon as a strongly
17 variable welding outcome which depends on the welding history.

18

19 One possibility to avoid this problem is to transmit the laser beam through
20 a sufficiently long glass fiber. Since the glass fiber does not preserve the
21 diameter of the beam, the beam which is coupled out typically has a constant
22 diameter and an approximately constant divergence. However, this approach
23 degrades the beam quality, so that the focusing unit has to be adapted

1 accordingly. Moreover, the so-called "benign behavior" of the welding process
2 suffers, since the depth of focus in the work plane is reduced. Another possibility
3 to avoid this problem is to use a stronger beam expansion before the beam
4 splitter and to also work outside the focusing range, where the image of the rod
5 surface remains approximately constant. This approach also reduces the initial
6 pulse characteristics. However, the "benign behavior" is again adversely affected
7 (depth of focus of the laser focusing system in the work plane).

8

9 The publication by MAGNI, V. et al.: "Recent Developments In Laser
10 Resonator Design" in Optical and Quantum Electronics 23, 1991, pp. 1105-1134,
11 in particular page 1106, second paragraph, describes additional measures to
12 counteract or even compensate the effect of thermal lensing. These conventional
13 measures, however, are only effective at a specified value of the pump power.

14

15 **SUMMARY OF THE INVENTION**

16

17 It is an object of the invention to provide a stable resonator which is stable
18 over an extended range of the pump power against the effects caused by thermal
19 lensing, rather than only at a specific value of the pump power. The resonator
20 according to the invention should also reduce the initial pulse characteristics
21 below the detection limit of the user, while at the same time maintaining the
22 "benign behavior", i.e. the depth of focus, of the laser.

23

1 The solution of this object is provided by resonators as described in the
2 commensurate claims 1, 3, 4 and 5. The applicant has realized that, unlike in
3 state-of-the-art devices, the beam quality as a function of the pump power has a
4 comparably flat maximum for relatively short resonator lengths due to the
5 extremely asymmetric construction of the resonators according to the invention.
6 Accordingly, the applicant achieves a comparably constant beam quality over a
7 larger pump power range. As a result, the thermal lensing effect has no effect or
8 only an insignificant effect on the welding result; the characteristics features of
9 the initial pulse are negligibly small. While the laser rod in the embodiments
10 recited in the commensurate claims 1 and 4 is completely displaced towards the
11 output side, in the other advantageous embodiments recited in claims 3 and 5
12 the laser rod may be located at a very short distance from the output mirror.

14 The invention will be described hereinafter with reference to the Figures
15 and diagrams showing calculated and measured values. It is shown in:

17 FIG. 1 a Nd:YAG welding laser according to the state-of-the-art;

18

19 FIG. 2a a classic resonator according to the state-of-the-art;

20

21 FIG. 2b a first embodiment of a resonator according to the invention
22 (so-called "sweet-spot"-resonator I);

23

1 FIG. 2c a second embodiment of a resonator according to the
2 invention (so-called "sweet-spot"-resonator II);

3

4 FIG. 3 a simulation of the initial pulse characteristics for a state-of-
5 the-art classic resonator;

6

7 FIG. 4 the focus diameter of a state-of-the-art resonator (upper
8 curve) and of a "sweet-spot"-resonator of the invention (lower curve) as a
9 function of the pump power (simulation);

10

11 FIG. 5 the focus diameter of a state-of-the-art resonator (upper
12 curve) and of a "sweet-spot"-resonator of the invention for different laser rods
13 (lower four curves) as a function of the pump power (measured values);

14

15 FIG. 6 the beam quality M as a function of the pump power in multi-
16 mode operation for a resonator arrangement with $L = 650$ mm, $R = \text{infinite}$,
17 $f = \text{infinite}$ (curve 1), and $f = 1800$ mm (curve 2)

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19 According to FIG. 2b, the resonator of the invention has an extremely
20 asymmetric configuration. The laser rod 1 is planar on the output side and
21 completely displaced towards the output side, wherein the planar rod end is
22 provided with a semi-reflecting layer 10 to couple out the laser beam 4.

23

1 The other rod end 11 has a convex curvature and operates as a focusing
2 lens in the resonator. The rear reflecting mirror 12 also has a convex curvature.
3 This special arrangement of the components in conjunction with a suitable
4 arrangement of the resonator lengths and the respective radii of curvature
5 provides a resonator which produces a small focus with a short overall length,
6 wherein the focus is almost independent of the frequency and the pump power,
7 respectively. The components may be arranged as follows:

8

9 Length of the resonator: 290 mm

10 Radius of curvature of the rear mirror: 0.1 m convex (cvx)

11 Radius of curvature of the Nd:YAG rod: 0.22 m convex (cvx)

12 Length of Nd:YAG rod: 90 mm

13 The measured values illustrated in FIG. 5 relate to the aforescribed resonator.

14

15 FIG. 4 shows a simulated comparison between a "classic" resonator
16 (upper curve) and the novel "sweet spot" resonator according to FIG. 2b (lower
17 curve). As seen in FIG. 4, the "sweet spot" resonator not only has an
18 approximately constant focus diameter, whereas the focus diameter of the
19 "classic" resonator increases with increasing pump power and therefore also with
20 increasing thermal lensing effect; moreover, the focus diameter is significantly
21 smaller across the entire range. The calculated results are supported by the
22 measurements shown in FIG. 5 (1 "state-of-the-art" rod, 4 different rods of the
23 "sweet spot" resonator according to the invention). The measurements were

1 taken with a CCD camera; other data are: focusing lens with $f = 116$ mm,
2 $P_{max} = 1.5$ kW and a distance from the focusing lens to the end of the
3 rod = 450 mm.

4

5 Similar results can be all obtained with slightly altered parameters (radius
6 of curvature & resonator length).

7

8 The relevant feature is that at the exemplary pump powers the resonator
9 length can be reduced to a value significantly less than 500 mm by using two
10 convex radii (rear mirror & rod).

11

12 Using a commercially available computer program for resonators and
13 based on the observations by the applicant, that the welding result are unaffected
14 by thermal lensing effects over a large range of pump power in particular with an
15 extremely asymmetric resonator, additional resonator configurations can be
16 determined as follows.

17

18 The beam quality M_2 is computed with the computer program for
19 resonators and the function of the pump energy and is printed as a curve
20 (FIG. 6). (M_2 is used instead of M^2)

21

22 1. The program is initiated with an extremely asymmetric planar-planar
23 resonator configuration, i.e., the laser rod is not curved (corresponds to an

1 internal lens with a focal length $f = \text{infinite}$, and the radii of curvature of both
2 the rear mirror and the output mirror are also $R = \text{infinite}$; with these boundary
3 conditions, the beam quality M^2 is calculated as a function of pump energy for
4 different values of the resonator length L and a specific value of L (L_0) is
5 determined, where the beam quality has the desired maximum value (in the
6 present example, the maximum value is set to be $M^2=25$, which is a typical
7 value for laser welding applications). The position of the maximum does not
8 change when L is varied. The curve, however, bulges upwardly (for values
9 of L less than L_0) or collapsed downwardly (for values of L greater than L_0).

10

11 2. If the maximum of the beam quality M^2 is not located in the range of pump
12 powers where the system is to be operated according to the application-
13 specific conditions, as in the aforedescribed asymmetric planar-planar
14 resonator configuration, then the radius of curvature of the end of the laser
15 rod which faces the rear mirror, decreases from planar, i.e., $R(\text{rod}) = \text{infinite}$,
16 and becomes finite. The lens formed by the curved surface can also be
17 employed to characterize the resonator instead of the radius of curvature of
18 the end of the laser rod. The focal length of the curved end of the laser rod
19 therefore varies from planar, i.e. $f = \text{infinite}$ and decreases to finite values.
20 Accordingly, different f -values are entered into the computer program,
21 wherein the maximum shifts to the left to smaller pump powers with
22 decreasing f -values. The value f_0 , for which the maximum of the curve is
23 located in the center of the desired pump power range, is determined by trial

1 and error. In the present example, the center of the desired pump power
2 range is approximately 1 kW, ranging from 0 to 2 kW.

3

4 3. If a shorter resonator is to be used with the same value of $M_2 = 25$ to attain a
5 more compact system (as is usually the case), then the beam quality M_2 is
6 again calculated, as under item 1 above, for a planar-planar resonator, i.e.,
7 the laser rod is not curved (corresponds to an internal lens with a focal length
8 of $f = \infty$) and the radii of curvature of the rear mirror and of the output
9 mirror are infinite. Since L is now smaller than L_0 , the maximum is now
10 greater than $M_2 = 25$ (see item 1 above, last sentence). The radius R of the
11 rear mirror is now reduced until the maximum is again at 25.

12

13 4. The focal length of the curved end of the laser rod is then reduced according
14 to item 2, until the maximum falls again in the center of the desired pump
15 power range, in the present example approximately 1 kW, ranging from
16 0 to 2 kW. The result is a novel resonator with the desired characteristics.

17

18 With a similar process, additional resonator arrangements can be
19 determined for $M_2 = 25$ by adjusting R for the desired L so that the maximum
20 is = 25 and by shifting the location of the maximum by varying f . Accordingly, the
21 respective values of R and f can be determined for other values of M_2 and the
22 desired resonator lengths L .

23

1 In the following, several values are listed for a "sweet spot" resonator
2 according to the invention, wherein the rod has a length of 85 mm. Other rod
3 lengths give slightly different results.

4

L	f	R
Resonator length (mm)	Focal length of the internal lens (mm)	Radius of the rear mirror (mm)
650	1800	- ∞
320	325	-170
290	270	-100
265	230	-85
215	155	-40

5

6 The values in the table can be described empirically by the following
7 formulas:

8

$$9 \quad R = \text{const} \times (L/L_0 - L)^2$$

$$10 \quad f = f_0 \times (L/L_0)^{2.4}$$

11

12 In a second embodiment shown in FIG. 2c, the "sweet spot" resonator II of
13 the invention is constructed so that the laser rod 1 is planar on the side facing the
14 convex rear mirror 12, and is convex and semi-reflecting on the opposite side
15 which is the output side. This embodiment has the same advantages as the

1 "sweet spot" resonator I illustrated in FIG. 2b. With a corresponding design of the
2 resonator length and the respective radii of curvature, this special arrangement of
3 the components also provides a resonator which has a short overall length and
4 produces a small focus which is substantially independent of the frequency and
5 the pump power.

6

7 In the following, several value are listed for a "sweet spot" resonator II
8 according to the invention (rod length = 85 mm).

9

L	A	R
Resonator length (mm)	Radius of the output mirror or rod end (mm)	Radius of the rear mirror (mm)
650	2000	- ∞
320	400	-240
290	350	-175
265	300	-140
215	215	-70

10

11 The table was created in the same manner as for "sweet spot" resonator I.

12

13 The beam quality M2 is calculated using a commercially available computer
14 program for resonators as a function of the pump energy and printed as a curve.

15

1 1. The length Lo is determined for a planar-planar resonator configuration with
2 $A = \infty$, so that the maximum value of $M2$ assumes a predetermined value
3 (in this case $M2 = 25$).

4

5 2. The value for A_0 is then determined in such a way that the maximum value of
6 the curve is located in the center of the desired pump power range (in this
7 case at 1 kW, range 0-2 kW).

8

9 This completes the first line.

10 If shorter resonators with the same properties are desired, then the following
11 process applies:

12

13 3. The $M2$ curve is calculated for a shorter length $L < Lo$, and a curve with a
14 maximum value of greater than 25 is obtained. The value for R is then
15 lowered until the maximum is again located at 25.

16

17 4. The value for A is then lowered until the maximum is again located at the
18 center of the desired range.

19

20 This process produces a new resonator with the desired features. In this
21 way, respective values of R and A can be determined for each specified value of
22 $M2$ and L .

23

1 These values can also be determined empirically by the following
2 formulae:

3

4 $R = C \times (L/L_0 - L)^{1.9}$ $C = -254$

5 $A = A_0 \times (L/L_0)^{2.1}$

6

7 It should be noted that the pulse energy is also almost independent of the
8 history of the pump power. Accordingly, both the focus diameter and the energy
9 density remain constant, which is of paramount interest for the user.

10

11 The preferred field of application of this invention are Nd:YAG welding
12 lasers with a resonator length of less than 500 mm and a maximum average
13 pump power of up to 2 kW (this corresponds to approximately 60 W average
14 laser power).

LIST OF REFERENCE NUMERALS

- 1 Nd:YAG laser rod
- 2 output mirror
- 3 concave rear mirror
- 4 laser beam
- 5 beam expansion with divergence adjustment
- 6 turning mirror
- 7 focusing lens
- 8 focusing plane of the observation (work plane)
- 9 observation direction
- 10 semi-reflecting layer
- 11 convex end of the rod
- 12 convex rear mirror